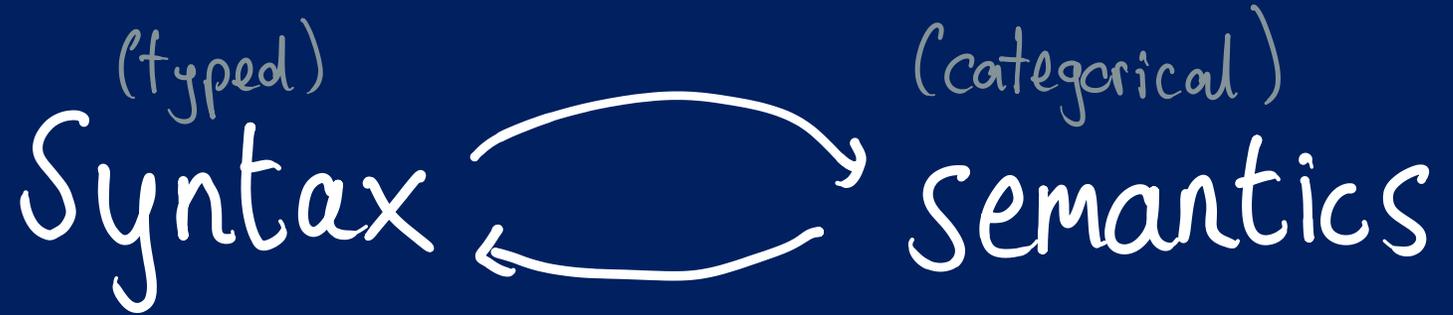


# REFINED SYNTAX & SEMANTICS VIA TAKING CONTEXTS SERIOUSLY

Philip Saville, University of Oxford

DIRECTIONS AND PERSPECTIVES IN THE  $\lambda$ -CALCULUS  
BOLOGNA, JAN. '24

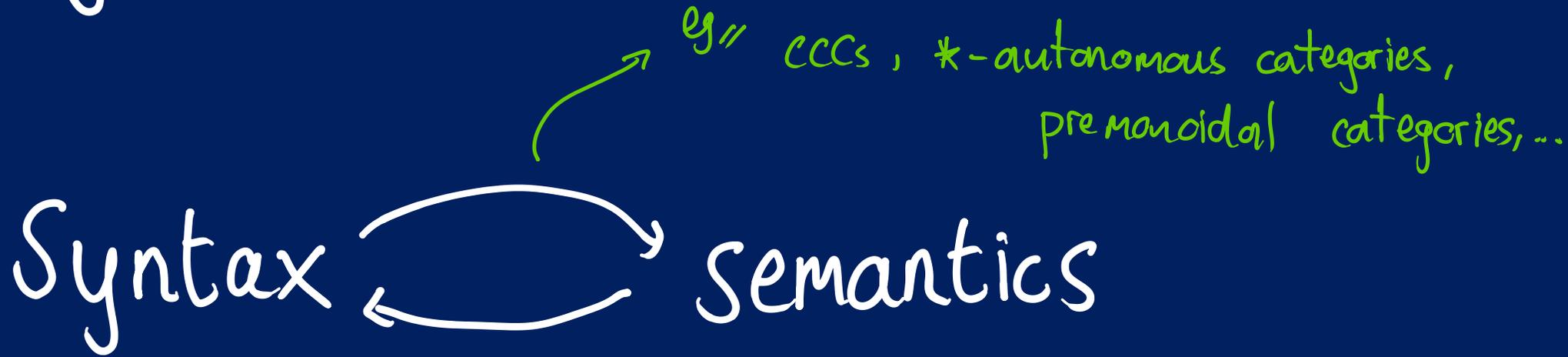
# A long thread:



new structure  
or programs

models express new  
structures

# A long thread:



eg//  
linear logic,  
differential  $\lambda$ -calculus,  
monadic metalanguage, ...

The trend: refinement on both sides

Syntax  Semantics



The trend: refinement on both sides

Syntax  Semantics

graded monads

[Melles, Katsumata, Fujii, Gaboardi, Orchard, ...]

fuzzy syntax

[de Amorim, Hsu, Katsumata, Gaboardi, Cheriqui, ...]

cost analysis

[Niu, Sterling, Grodin, Harper, Gaboardi, ...]

NB: an incomplete list !

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2-dimensional

[Fiore, Gambino, Hyland, Winskel, Olimpieri, Paquet, Galal, Melles, ...]

enrichment

[Kavvos, Levy, McDermott-Uustalu, ...]

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The trend: refinement on both sides

Syntax  Semantics

subtle features /  
relations between programs

rich, expressive  
models

inc. soundness, completeness, ...

- 1) Can we canonically extract syntax from semantics?
- 2) What common ideas can we use for all these cases?

# Looking backwards

[Lambek, ...]

(typed)  
Syntax



categorical  
semantics

# Looking backwards

[Lambek, ...]

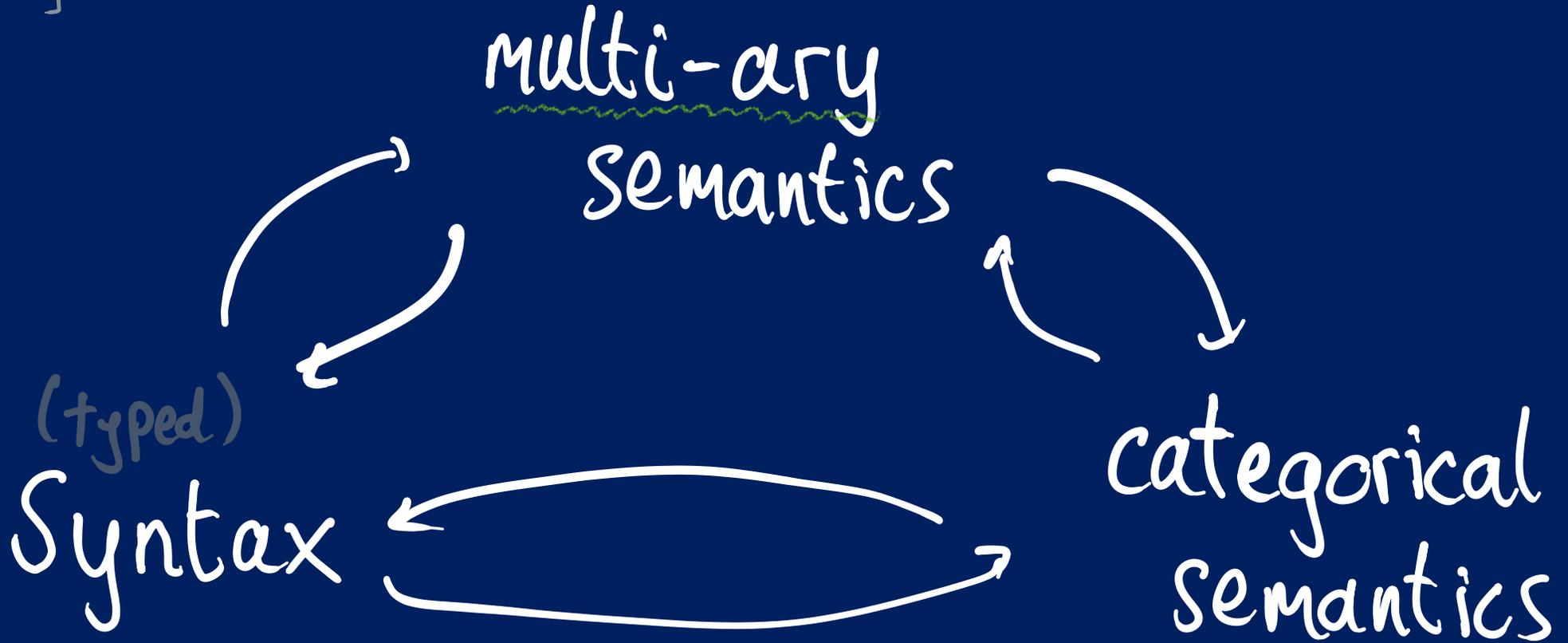


# Looking backwards

[Lambek, ...]

MANY INPUTS

$$f: A_1, \dots, A_n \rightarrow B$$



ONE INPUT  $f: A \rightarrow B$

# Looking backwards

[Lambek, ...]

MANY INPUTS  
 $f: A_1, \dots, A_n \rightarrow B$

multi-ary  
Semantics

(typed)  
Syntax

categorical  
Semantics

MANY INPUTS  
 $x_1: A_1, \dots, x_n: A_n \vdash t: B$

ONE INPUT  $f: A \rightarrow B$



# Bonuses

- resolves the unary/multi-ary mismatch
- distinguishes contexts and product types
- easy to prove soundness + completeness, etc
- a natural way to describe lots of useful language constructs
- naturally generalises

PROponents: Lambek, Hyland, Fiore, Shulman, ...

# Bonuses

- resolves the unary/multi-ary mismatch
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Examples

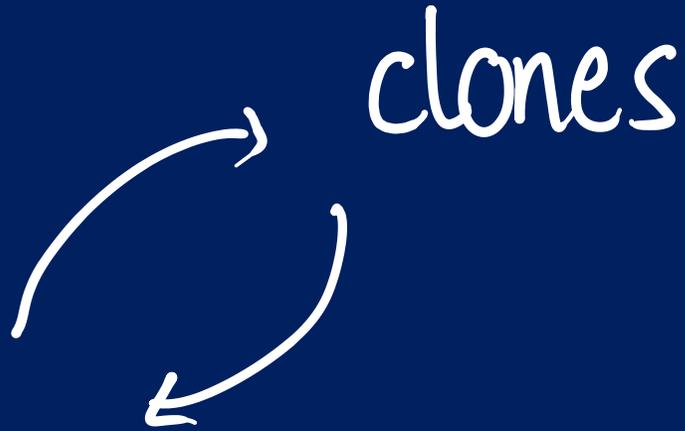
= has contraction, permutation,  
weakening

cartesian simple  
type theories

= has contraction, permutation,  
weakening



cartesian simple  
type theories



clones

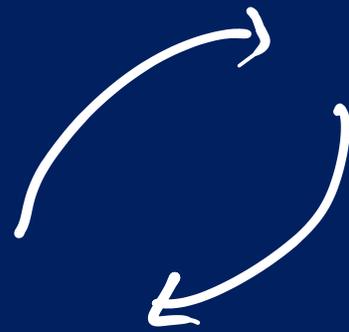
multicategories,

symmetric  
multicategories

clones

ordered/linear

~~cartesian~~ simple  
type theories



multisorted, abstract

def: a  $\wedge$  clone  $\mathcal{C}$  has:

[Hall]

- objects  $A, B, C, \dots$
- multimaps  $f_{i, \dots} : A_1, \dots, A_n \rightarrow B$ ,  $(n \geq 0)$   
including  $p_i^{A_1, \dots, A_n} : A_1, \dots, A_n \rightarrow A_i$  for  $i=1, \dots, n$
- a substitution operation

$$f : A_1, \dots, A_n \rightarrow B \quad (g_i : \Delta \rightarrow A_i)_{i=1, \dots, n}$$

---

$$f[g_1, \dots, g_n] : \Delta \rightarrow B$$

multisorted, abstract

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multisorted, abstract

$$p_i[f_1, \dots, f_n] = f_i$$

$$f[p_1, \dots, p_n] = f$$

$$(f[g_1, \dots, g_n])[h_1, \dots, h_m] = f[\dots, g_i[h_1, \dots, h_m], \dots]$$

def: a clone  $\mathcal{C}$  has:

[Hall]

• objects  $A, B, C, \dots$

• multimaps  $f, g, \dots : A_1, \dots, A_n \rightarrow B$ ,  
( $n > 0$ )

including  $p_i^{A_1, \dots, A_n} : A_1, \dots, A_n \rightarrow A_i$  for  $i = 1, \dots, n$

• a substitution operation

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---

$$f[g_1, \dots, g_n] : \Delta \rightarrow B$$

And:

a <sup>simple</sup> type theory has:

- types  $A, B, C, \dots$
- terms  $x_1 : A_1, \dots, x_n : A_n \vdash f : B$ ,  
including  $x_1 : A_1, \dots, x_n : A_n \vdash x_i : A_i$  for  $i = 1, \dots, n$
- a substitution operation

$$\frac{x_1 : A_1, \dots, x_n : A_n \vdash f : B \quad (\Delta \vdash g_i : A_i)_{i=1, \dots, n}}{\Delta \vdash f[g_1, \dots, g_n] : B}$$

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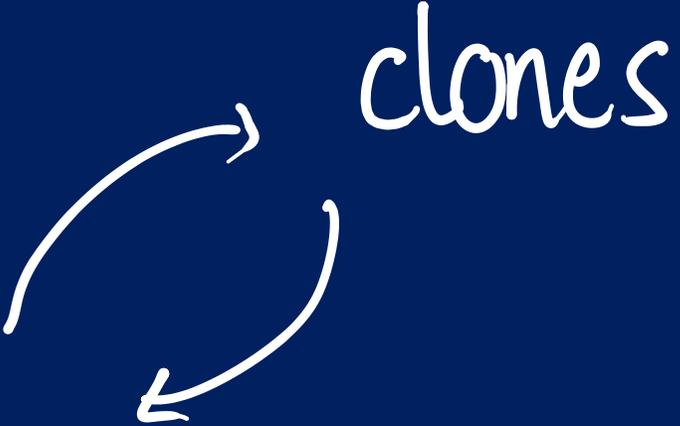
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$$x_i[u_1, \dots, u_n] = u_i$$

$$t[x_1, \dots, x_n] = t$$

$$t[u_1, \dots, u_n][v_1, \dots, v_m] = t[-, u_i[v.], \dots]$$

cartesian simple  
type theories



clones

Syntax from semantics

# Syntax from semantics

Cartesian  
product in  
category  $\mathcal{C}$

$\equiv$

universal arrow from  
 $\Delta^{(n)} : \mathcal{C}^{x_n} \longrightarrow \mathcal{C}$   
to  $(A_1, \dots, A_n) \in \mathcal{C}^{x_n}$

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# Syntax from semantics

Cartesian product in clone  $\mathbb{C}$   $\stackrel{\text{def}}{=} \Delta^{(n)} : \mathbb{C}^{x_n} \longrightarrow \mathbb{C}$   
to  $(A_1, \dots, A_n) \in \mathbb{C}^{x_n}$

for  $n=2$  :

$$t \longmapsto (\pi_1(t), \pi_2(t))$$

$$\mathbb{C}(\Gamma; A \times B) \cong \mathbb{C}(\Gamma; A) \times \mathbb{C}(\Gamma; B)$$

$$\langle t_1, t_2 \rangle$$

$$\longleftarrow (t_1, t_2)$$

# Syntax from semantics

Cartesian  
product in  
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$=$

universal arrow from  
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free clone with  
all products

$=$  syntax of  $\lambda^x$

simply-typed  $\lambda$ -calculus  
with just products

# Syntax from semantics

free clone with all products = syntax of  $\lambda^x$  = typed  $\lambda$ -calculus with just products

clones with cartesian products

Syntax of  $\lambda^x$

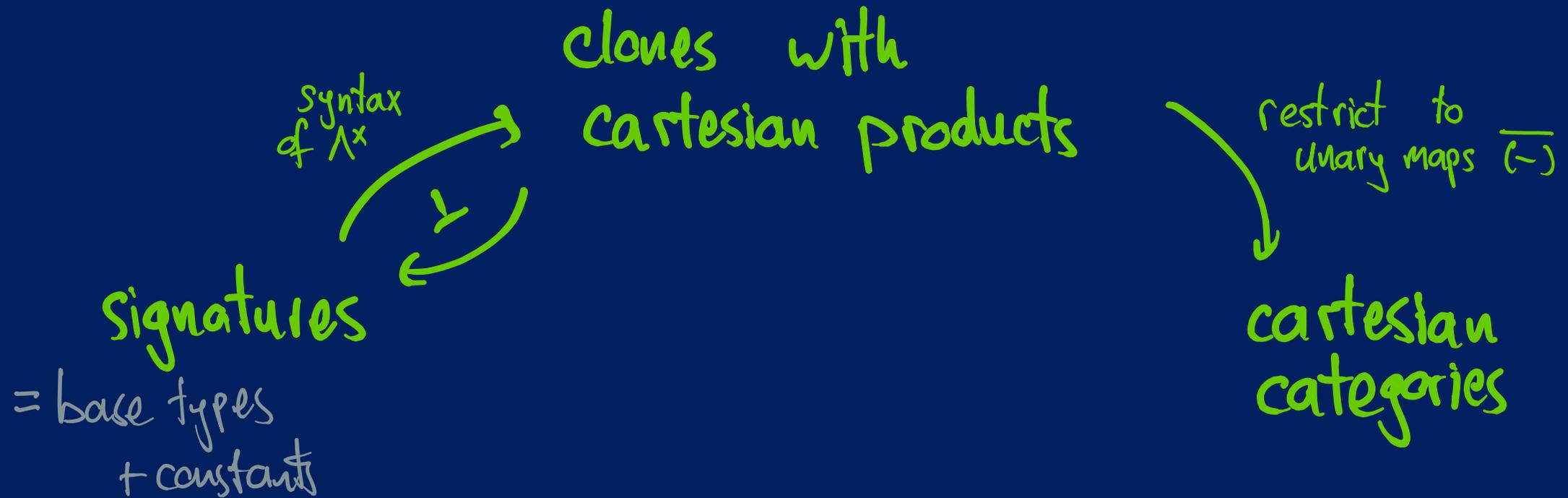


signatures

= base types  
+ constants

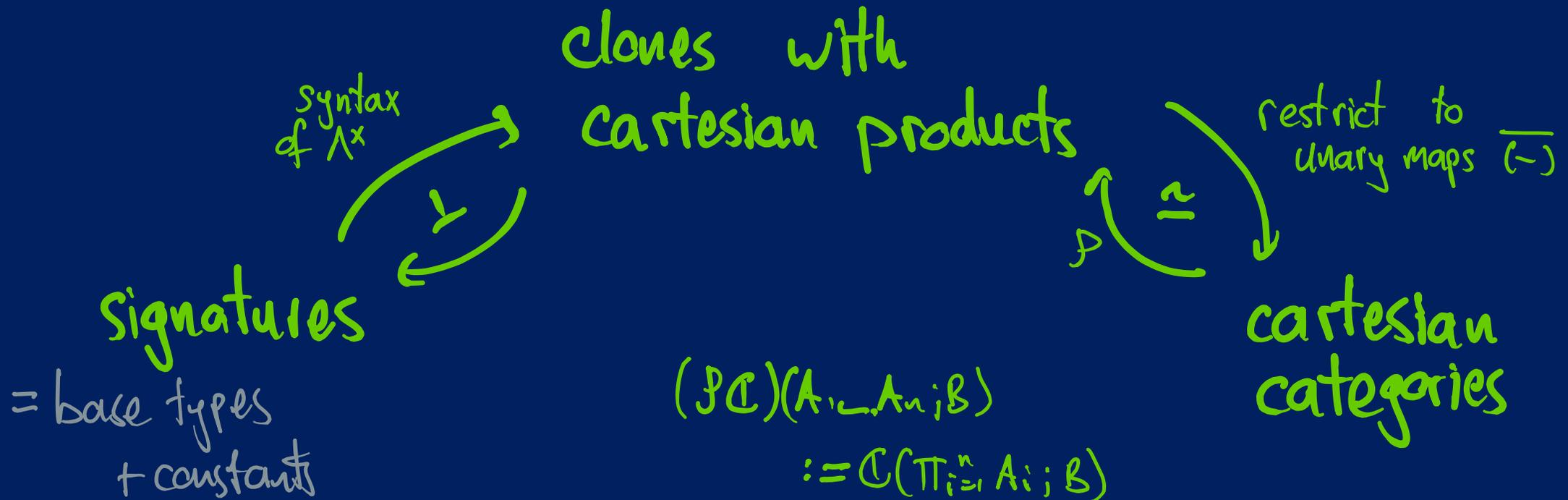
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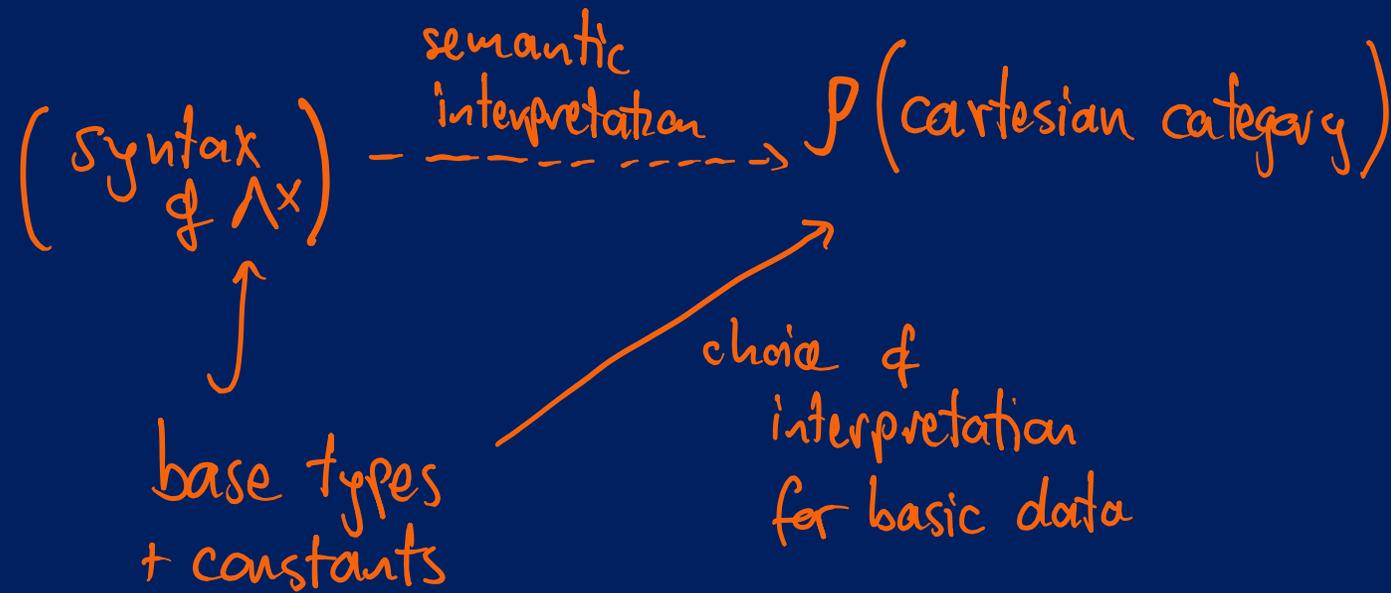
# Syntax from semantics



$\Rightarrow$  free cartesian category =  $\mathcal{L}^x$ -terms  $x:A \vdash t:B$

# Syntax from semantics

free clone with all products = syntax of  $\lambda^x$  = typed  $\lambda$ -calculus with just products



# Syntax from semantics

free clone with  
all products

= syntax of  $\lambda^x$  = typed  $\lambda$ -calculus  
with just products

sound :: cartesian clone homomorphism



base types  
+ constants

choice of  
interpretation  
for basic data

where products  
= contexts  
comes from!

# Syntax from semantics

objects  $A, B, \dots$   
1-cells  $f, g: A \rightarrow B$   
2-cells  $\tau: f \Rightarrow g$

a monad in a 2-category  $\mathcal{C}$   
consists of:

- an object  $C$
  - a 1-cell  $T: C \rightarrow C$
  - 2-cells  $\eta: \text{id}_C \Rightarrow T$   
 $\mu: T \circ T \Rightarrow T$
- + axioms

monad in  
2-category of  
categories,  
functors,  
nat. trans.  
= usual def<sup>n</sup>  
of monad!

# Syntax from semantics

[jww. Nayan Rajesh]

instantiating in the 2-category of clones:

monad  
on  $\mathcal{C}$

=

a type  $TA$  for each type  $A$ ,

a unit  $\text{return} : A \rightarrow TA$ ,

a bind operation  
( $\gg =$ )

# Syntax from semantics

[jww. Nayan Rajesh]

monad  
on  $\mathcal{C}$

$$= \frac{\Gamma \vdash t : A}{\Gamma \vdash \text{return}(t) : TA},$$

$$\frac{\begin{array}{l} \Gamma, x:A \vdash t : TB \\ \Gamma \vdash u : TA \end{array}}{\Gamma \vdash \text{let } x = u \text{ in } t : TB}$$

...

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...

free clone  
equipped with a  
monad

= syntax of Moggi's  
monadic metalanguage

# Syntax from semantics

[jww. Nayan Rajesh]

free clone  $\mathbb{C}$   
equipped with a  
monad  $T$  = syntax of Moggi's  
monadic metalanguage

↳ what about strengths?

# Syntax from semantics

[jww, Nayan Rajesh]  
[see also: Kock, Slattery]

free clone  $\mathcal{C}$   
equipped with a  
monad  $T$  = syntax of Moggi's  
monadic metalanguage

if  $\mathcal{C}$  has products,  $T$  becomes a strong monad  
on the cartesian category  $\bar{\mathcal{C}}$  = restrict  $\mathcal{C}$  to  
unary maps  
(linear version: monoidal)

# Syntax from semantics

many similar examples: [see especially Shelman et al...]

- (linear) exponential types
- $\otimes$  and  $\&$  types in linear  $\lambda$ -calculus
- $\vdots$  [Hyland + de Paiva, ...]

# Syntax from semantics

many similar examples:

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conjecture: also can get

- recursive types
- logical relations

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so a toolkit for reasoning about programs!

# Syntax from semantics: a heuristic

- 1) instantiate the structure in the (2-)category of clones
- 2) free such clone = required syntax  
     $\leadsto$  automatically sound + complete wrt these models
- 3) (clones)  $\overset{\rightarrow}{\leftarrow}$  (categories) gives categorical semantics

# Refined Syntax from semantics

1) instantiate the structure in the  
(2-)category of generalised clones

2) free such clone = required syntax

3) (generalised clones)  $\rightleftarrows$  ("categories") gives expected semantics

# An example: cartesian closed bicategories

(w/ Fiore)

## cartesian closed category

$$\mathcal{C}(x, A \times B) \cong \mathcal{C}(x, A) \times \mathcal{C}(x, B)$$

$$\mathcal{C}(x \times A, B) \cong \mathcal{C}(x, A \rightarrow B)$$

$$(\beta) \quad f = \text{eval} \circ (\lambda f \times A)$$

$$(\eta) \quad g = \lambda(\text{eval} \circ (g \times A))$$

# An example: cartesian closed bicategories

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## cartesian closed bicategory

$$\rightarrow \mathbb{C}(x, A \times B) \cong \mathbb{C}(x, A) \times \mathbb{C}(x, B)$$

hom-  
categories

$$\mathbb{C}(x \times A, B) \cong \mathbb{C}(x, A \rightarrow B)$$

$$(\beta) \quad f \cong \text{eval} \circ (1_f \times A)$$

$$(\eta) \quad g \cong \lambda(\text{eval} \circ (g \times A))$$

eg // generalised  
species

# An example: cartesian closed bicategories

(w/ Fiore)

## cartesian closed biclone

$$\mathcal{C}(\Gamma; A \times B) \cong \mathcal{C}(\Gamma; A) \times \mathcal{C}(\Gamma; B)$$

$$\mathcal{C}(\Gamma, A; B) \cong \mathcal{C}(\Gamma; A \rightarrow B)$$

$$\Gamma \vdash \beta : (\lambda x. t) u \Rightarrow t\{x \mapsto u\} : B$$

$$\Gamma \vdash \eta : t \Rightarrow \lambda x. (t^x x) : A \rightarrow B$$

# An example: cartesian closed bicategories

(w/ Fiore)

cartesian closed **biclone**

↳ easy to prove soundness + completeness

"correct by construction"

↳ strong justification for design choices  
(canonical!)

What's next?

# The trend: refinement on both sides



graded monads

[Melles, Katsumata, Fujii, Gaboardi, Orchard, ...]

fuzzy syntax

[de Amorim, Hsu, Katsumata, Gaboardi, Cheriqui, ...]

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[Niu, Sterling, Grodin, Harper, Gaboardi, ...]

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NB: an incomplete list!

# The future? Refined syntax via multi-ary

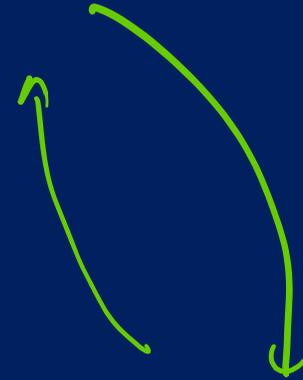
derive  
refined  
syntax

Syntax



multi-ary  
semantics

easy proofs of  
soundness + completeness



syntactic  
"category" for  
free

"categorical"  
semantics

WIP: "duoidal enrichment" covers effects, CBPV,  
graded, ...  
[w/ Rajesh]

WIP: "duoidal enrichment" covers effects, CBPV,  
graded, ...  
[w/ Rajesh]

## Future work:

- other bases of enrichment eg. for cost analysis, metaprogramming, ...
- other syntax from constructions in Clone
  - build a framework for many languages
- enriched clones and enriched universal algebra

# The future? Refined syntax via multi-ary

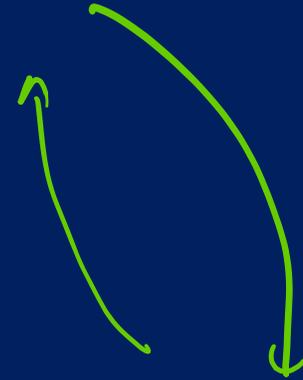
derive  
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Syntax



multi-ary  
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easy proofs of  
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syntactic  
"category" for  
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